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10/045786

Filing Date

01/11/2002

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First Named Inventor

Sandra Lynn Carrico et al.

Group Art Unit

2155

Examiner Name

Not Yet Assigned

Total Number of Pages in this Submission

Attorney Docket Number

2001-0416

ATT&amp;T TRADEMARK OFFICE

**Enclosures (check all that apply)**

Fee Transmittal Form  
 Fee Attached  
 Amendment / Response  
 After Final  
 Affidavits / Declaration(s)  
 Extension of Time Request  
 Express Abandonment Request  
 Information Disclosure Statement  
 Certified Copy of Priority Document(s)  
 Response to Missing Parts under 37 CFR 1.52 or 1.53  
 Response to Missing Parts/Incomplete Application

Assignment & Recordation Cover Sheet  
 Drawing(s) & Letter to Official Draftsman  
 Licensing-related Papers  
 Petition to the Commissioner  
 Petition to Convert a Provisional Application  
 Power of Attorney, Revocation Change of Correspondence Address  
 Terminal Disclaimer  
 Request for Refund

After Allowance Communication to Group  
 Appeal Communications to Board of Appeals and Interferences  
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SIGNATURE	<i>Michele L. Conover</i>		DATE	6/26/03

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IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

**PATENT APPLICATION**

**Inventor(s):** Sandra Lynn Carrico et al.

**Docket No.:** 2001-0416

**Application No.:** 10/045786

**Filing Date:** 01/11/2002

**Examiner Name:** Not Yet Assigned

**Group Art Unit:** 2155

**Title:** IPsec Through L2TP

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Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08A. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b).

- 1. This information disclosure statement is being filed within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); within three months of the date of the entry of the national stage as set forth in §1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under §1.114. No certification or fee is required. (37 C.F.R. §1.97(b)).
- 2. This information disclosure statement is being filed more than three months after the filing date of a national application other than a continued prosecution application under §1.53(d); more than three months after the date of the entry of the national stage as set forth in §1.491 in an international application, after the mailing date of a first Office action on the merits, or after the mailing of a first Office action after the filing of a request for continued examination under §1.114, but before the mailing date of any of a Final action under §1.113, a Notice of Allowance under §1.311 or an action that otherwise closes prosecution in the application. (37 C.F.R. §1.97(c)).

- a. I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. (37 C.F.R. §1.97 (e)(1)).
- b. I hereby certify that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement. (37 C.F.R. §1.97 (e)(2)).
- c. Please debit Deposit Account No. 01-2745 in the amount of \$180.00 to cover the fee under 37 C.F.R. §1.17(p) and to ensure consideration of the disclosed information.

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- c. Please debit Deposit Account No. 01-2745 in the amount of \$180.00 to cover the fee under 37 C.F.R. §1.17(p) and ensure consideration of the disclosed information.

Respectfully,

Date: 6/26/03

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By: Michele Conover

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<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(use as many sheets as necessary)</p> <p style="text-align: right;">OCT 2 2003</p>		<b>Complete if Known</b>					
		Application Number		10/045786			
		Filing Date		01/11/2002			
		First Named Inventor		Sandra Lynn Carrico et al.			
		Group Art Unit		2155			
		Examiner Name		Not Yet Assigned			
Sheet	1	2	Attorney Docket Number	2001-0416			
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Office <sup>3</sup>	Number (if known)				Kind Code <sup>2</sup> (if known)
	A	US Patent Application Publication US2001/0020273A1		MURAKAWA, Yasushi	09/06/2001		
<b>FOREIGN PATENT DOCUMENTS</b>						T <sup>6</sup>	
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office <sup>3</sup>	Number (if known)			Kind Code <sup>2</sup>	
	B	WO 01/15396 A1		AMBER NETWORKS INC	03-01-2001		<input type="checkbox"/>
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		Application Number	10/045786
		Filing Date	01/11/2002
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		Group Art Unit	2155
		Examiner Name	Not Yet Assigned
Sheet	2	of	2
		Attorney Docket Number	
		2001-0416	

<p><i>JUN 3 0 2003</i></p> <p><b>OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS</b></p> <p>Examiner Initials: <i>RE</i></p> <p>Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.</p>		T2	
		<input type="checkbox"/>	
C	MOLVA, Refik; Internet Security Architecture; COMPUTER NETWORKS, ELSEVIER SCIENCE PUBLISHERS B.V., AMSTERDAM, NL, vol. 31, no. 8, 23 April 1999, pages 787-804, XP004304518, ISSN: 1389-1286.	<input type="checkbox"/>	
D	SRISURESH, P.; RFC 2709 - Security Model with Tunnel-mode IPsec for NAT Domains; IETF, October 1999, XP002204214, Retrieved from the Internet: <u>URL: http://www.ietf.org/rfc/rfc2709.txt</u> retrieved on 2002-07-01.	<input type="checkbox"/>	
F	European Search Report regarding European Application EP 03 00 0527 dated May 6, 2003	<input type="checkbox"/>	
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